**Application Supplement C**

C.0 Conflict of Interest[[1]](#footnote-1)

You must complete Supplement C if any research investigators or any member of a research investigator’s family (i.e., spouse, children, parent, in-laws, and siblings) have a financial interest in the outcome of the research.

**C.1 Select all that apply to any member of the research team:**

[ ]  A researcher or family member of a researcher owns equity in the company (stock ownership equal to or greater than 5%, Stock Options, Real Estate, or other ownership interest in any amount) whose drug, procedure, technique, device, or software is being testing.

[ ]  The company holds patent rights to inventions created by a researcher or family member of a researcher.

[ ]  A researcher or family member of a researcher holds a position of senior management officer, or director of the company whose drug, procedure, technique, device, or software is being testing.

[ ]  A researcher or family member of a researcher is a scientific advisor or consultant to the company and has received or will receive honoraria exceeding $5,000 annually.

[ ]  If a device, technique, software, or procedure involved in the research is marketed, a researcher or family member of a researcher will get royalty income or other income from the sale of the product.

[ ]  There are other financial interests that may appear to cause a conflict of interest. Explain:

C.2. Explain in detail the potential conflict(s) of interest (e.g., source of funding, who designed the study).

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C.3 Identify the research investigators and/or their family members who have the potential conflict(s) of interest.

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C.4 How will the conflict(s) of interest be disclosed to subjects in the informed consent process?

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C. 5 How will the conflict(s) of interest be managed to minimize the extent to which the design and conduct of research is influenced - consciously or unconsciously - by financial considerations?

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1. For federal guidance on Conflicts of Interest see http://www.hhs.gov/ohrp/policy/fguid.pdf. [↑](#footnote-ref-1)